PALO ALTO

(City)

CA

(State)

94303

(Zip)

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL OMB Number: 32350104 Estimated average burden hours per response: 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

| | | | | | 16(a) of the Securities Excha f the Investment Company Ad | | 1934 | | | | |
|--|----------------|---|--|---|--|--|------------------------------|---|--|---|--|
| 1. Name and Ac <u>Katz Avi S</u> | orting Person* | 2. Date of Event Requiring Statement (Month/Day/Year) 02/08/2021 | | 3. Issuer Name and Ticker or Trading Symbol GigCapital4, Inc. [GIG] | | | | | | | |
| (Last) (First) (Middle) C/O GIGCAPITAL4, INC. 1731 EMBARCADERO ROAD, | | | - | | 4. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director X 10% Owner X Officer (give title below) Delow) Executive Chairman | | | If Amendment, Date of Original Filed (Month/Day/Year) Individual or Joint/Group Filing (Check Applicable Line) Form filed by One Reporting Person Reporting Person | | | |
| (Street) PALO CA 94303 | | | | | | | | | | | |
| ALTO | (State) | (Zip) | _ | | | | | | . toporung | . 61661. | |
| | | | able I - Non | -Deriva | itive Securities Benef | icially O | wned | | | | |
| 1. Title of Security (Instr. 4) | | | | | 2. Amount of Securities Beneficially Owned (Instr. 4) | | Direct Owner | | I. Nature of Indirect Beneficial Ownership (Instr. 5) | | |
| Common Stock | | | | | 8,952,000(1)(2) | | I | By 0 | GigAcquisiti | Acquisitions4, LLC ⁽³⁾ | |
| | | (e.g | | | re Securities Benefici ants, options, conver | | | 5) | | | |
| 1. Title of Derivative Security (Instr. 4) | | | 2. Date Exercisable and Expiration Date (Month/Day/Year) | | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | | 4. Conve or Exe | rcise | 5. Ownership Form: | 6. Nature of Indirect Beneficia Ownership (Instr. | |
| | | | Date Exercisable | Expiration Date | on Title | Amount or Number of Shares | Price of Derivation Security | tive | Direct (D) or Indirect (I) (Instr. 5) | 5) | |
| 1. Name and Ac <u>Katz Avi S</u> | - | orting Person [*] | | | | | | | | | |
| (Last) (First) (Middle) C/O GIGCAPITAL4, INC. 1731 EMBARCADERO ROAD, SUITE 200 | | | | | | | | | | | |
| (Street) PALO ALTO | ALTO CA 94303 | | | | | | | | | | |
| (City) | (State) | (Zip | o) | | | | | | | | |
| 1. Name and Address of Reporting Person* GigAcquisitions4, LLC | | | | | | | | | | | |
| (Last) (First) (Middle) C/O GIGCAPITAL4, INC. 1731 EMBARCADERO ROAD, SUITE 200 | | | | | | | | | | | |
| (Street) | | | | | | | | | | | |

Explanation of Responses:

- 1. The shares of common stock reflect a split on a 1.2-for-1 basis.
- 2. Includes up to 1,170,000 shares of common stock that are subject to forfeiture depending on the extent to which the underwriters' over-allotment is exercised, if at all.
- 3. The Common Stock is held directly by GigAcquisitions4, LLC (the "Sponsor"). The shares held by the Sponsor are beneficially owned by Dr. Katz, GigCapital4, Inc.'s Executive Chairman of the Board of Directors. Dr. Katz is also the Manager of the Sponsor, who has sole voting and dispositive power over the shares held by the Sponsor.

/s/ Dr. Avi S. Katz, individually 02/08/2021

/s/ Dr. Avi S. Katz, as

<u>Manager of</u> <u>02/08/2021</u>

GigAcquisitions4, LLC

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 5 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB