SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL	
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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934
or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person*
Horowitz Raanan

(Last) (First) (Middle)
C/O GIGCAPITAL9 CORP.
1731 EMBARCADERO RD., SUITE 200

(Street)
PALO ALTO CA 94303

(City) (State) (Zip)

2. Date of Event Requiring Statement (Month/Day/Year)
01/28/2025

3. Issuer Name **and** Ticker or Trading Symbol
GigCapital9 Corp. [GIX]

4. Relationship of Reporting Person(s) to Issuer
(Check all applicable)
☑ Director
10% Owner
Officer (give title below)
Other (specify below)

5. If Amendment, Date of Original Filed (Month/Day/Year)

6. Individual or Joint/Group Filing (Check Applicable Line)
☑ Form filed by One Reporting Person
Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Class A ordinary shares	7,500(1)	D	

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of Derivative Security	5. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			
Class B ordinary shares	(3)	(3)	Class A ordinary shares	91,685(2)	(3)	D	
Rights	(4)	(4)	Class A ordinary shares	1,500	(4)	D	

Explanation of Responses:

1. Includes 7,500 Class A ordinary shares underlying the private placement units purchased concurrently with the consummation of the initial public offering. Each private placement unit consisting of one Class A ordinary share and one right to receive one-fifth of one Class A ordinary share upon the completion of the Issuer's initial business combination.

2. As a result of the underwriters' full exercise of the over-allotment option to purchase 3,300,000 units on January 27, 2026, no such shares are subject to forfeiture.

3. As described in the Issuer's registration statement on Form S-1, as amended (File No. 333-291869) under the heading "Description of Securities-Ordinary Shares", the Class B ordinary shares will automatically convert into Class A ordinary shares at the time of the Issuer's initial business combination or earlier at the option of the holder on a one-for-one basis, subject to certain adjustments described therein and have no expiration date.

4. Includes 7,500 rights underlying the private placement units, which were sold in a private placement taking place simultaneously with the Issuer's initial public offering. Each right is exchangeable for one-fifth of one Class A ordinary share upon the completion of the Issuer's initial business combination.

Raanan I. Horowitz	02/02/2026
** Signature of Reporting Person	Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, *see* Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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