SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

			01 3601	011 30(11) 01 1	ne investment company Act of 1	340					
1. Name and Address of Reporting Person* Katz Avi S		R (1	2. Date of Event Requiring Statement (Month/Day/Year) 06/05/2019		3. Issuer Name <b>and</b> Ticker or Trading Symbol <u>GigCapital2, Inc.</u> [ GIX.U ]						
(Last) (First) (Middle) C/O GIGCAPITAL2, INC.					4. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director X 10% Owner				5. If Amendment, Date of Original Filed (Month/Day/Year)		
2479 E. BAYSHORE RD., SUITE 200					X Officer (give title Other (specify below) CEO, Pres, Exec Chairman			6. Individual or Joint/Group Filing (Check Applicable Line) Form filed by One Reporting Person			
(Street) PALO ALTO CA 94303					CEO, Fles, Exec C	.11d11111d11		Х	Form filed b	y More than One	
(City) (S	State) (Zip)										
Table I - Non-Derivative Securities Beneficially Owned											
1. Title of Security (Instr. 4)					Beneficially Owned (Instr. 4) Form		Direct (D) (In lirect (I)		. Nature of Indirect Beneficial Ownership nstr. 5)		
Common Stock					3,482,500 <sup>(1)</sup>	I	By Gi below		GigAcquisitions2, LLC (see note w) <sup>(2)</sup>		
Table II - Derivative Securities Beneficially Owned           (e.g., puts, calls, warrants, options, convertible securities)											
1. Title of Derivative Security (Instr. 4) 2. Date Exerc Expiration Da (Month/Day/Y			ate	3. Title and Amount of Secur Underlying Derivative Securi			rsion rcise	5. Ownership Form: Direct (D)	6. Nature of Indirect Beneficial Ownership (Instr. 5)		
						Amount D	Derivati	ative or Indire			
			Date Exercisable	Expiration Date	Title	Number of Shares		,	() (11511 0)		
1. Name and Addres <u>Katz Avi S</u>	s of Reporting Person <sup>*</sup>										
(Last)	(First)	(Middle)									
C/O GIGCAPITAL2, INC.											
2479 E. BAYSH	ORE RD., SUITE 200										
(Street)											
PALO ALTO	CA	94303									
(City)	(State)	(Zip)									
1. Name and Address of Reporting Person <sup>*</sup> GigAcquisitions2, LLC											
(Last)	(First)	(Middle)									
C/O GIGCAPITAL2, INC. 32479 E. BAYSHORE RD., SUITE 200											
(Street)											
PALO ALTO	CA	94303									
(City)	(State)	(Zip)									

Explanation of Responses:

1. Includes up to 454,891 shares of Common Stock that are subject to forfeiture depending on the extent to which the underwriters' over-allotment is exercised, if at all.

2. The Common Stock is held directly by GigAcquisitions2, LLC (the "Sponsor"). The shares held by the Sponsor are beneficially owned by Dr. Avi S. Katz, GigCapital2, Inc.'s Chief Executive Officer, President, Executive Chairman of the Board of Directors, and Secretary. Dr. Katz is also the Manager of the Sponsor, who has sole voting and dispositive power over the shares held by the Sponsor.

**Remarks:** 

 /s/ Dr. Avi S. Katz, individually
 06/05/2019

 /s/ Dr. Avi S. Katz, as Manager
 06/05/2019

 of GigAcquisitions2, LLC
 06/05/2019

 \*\* Signature of Reporting Person
 Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $\ast$  If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.